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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
CHARLES KOCH FOUNDATION

% KARA WORTHINGTON

A Employer identification number
48-0918408

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 2256
Suite

Room/suite

B Telephone number (see instructions)
(316) 828-6847

City or town, state, and ZIP code
WICHITA, KS 672012256

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 276,881,787

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	60,025,464			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,415	1,415		
	4	Dividends and interest from securities	3,918,994	3,918,994		
	5a	Gross rents				
	b	Net rental income or (loss) _____				
	6a	Net gain or (loss) from sale of assets not on line 10	5,391,703			
	b	Gross sales price for all assets on line 6a 138,733,912				
	7	Capital gain net income (from Part IV, line 2)		5,391,703		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,811,978	1,720,303		
	12	**Total.** Add lines 1 through 11	71,149,554	11,032,415	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages	1,478,900			1,478,900
	15	Pension plans, employee benefits	258,288			258,288
	16a	Legal fees (attach schedule)	7,219	0	0	7,219
	b	Accounting fees (attach schedule)	6,103	0	0	6,103
	c	Other professional fees (attach schedule)	636,481	478,017		158,464
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	231,982			20,468
	19	Depreciation (attach schedule) and depletion	198,553			
	20	Occupancy	371,286			371,286
	21	Travel, conferences, and meetings	145,161			145,161
	22	Printing and publications	5,462			5,462
	23	Other expenses (attach schedule)	238,297			238,297
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,577,732	478,017	0	2,689,648
	25	Contributions, gifts, grants paid	13,993,693			14,920,448
	26	**Total expenses and disbursements.** Add lines 24 and 25	17,571,425	478,017	0	17,610,096
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	53,578,129			
	b	**Net investment income** (if negative, enter -0-)		10,554,398		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	676,259	428,037	428,037
	2 Savings and temporary cash investments	8,655,461	77,727,198	77,727,198
	3 Accounts receivable ▶ 72,101 Less allowance for doubtful accounts ▶	87,889	72,101	72,101
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	113,397	86,638	86,638
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ 3,377,694 Less accumulated depreciation (attach schedule) ▶	3,377,694	3,377,694	2,455,694
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	203,038,442	186,067,154	196,100,488
	14 Land, buildings, and equipment basis ▶ 16,635 Less accumulated depreciation (attach schedule) ▶ 5,004	405,231	11,631	11,631
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	216,354,373	267,770,453	276,881,787
Liabilities	17 Accounts payable and accrued expenses	18,746	159,554	
	18 Grants payable	2,863,946	1,938,471	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	2,882,692	2,098,025	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	213,471,681	265,672,428	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see page 17 of the instructions)	213,471,681	265,672,428	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	216,354,373	267,770,453	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	213,471,681
2	Enter amount from Part I, line 27a	2	53,578,129
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	267,049,810
5	Decreases not included in line 2 (itemize) ▶	5	1,377,382
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	265,672,428

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	5,391,703	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	20,955,082	215,815,197	0 097097
2010	18,901,264	203,468,683	0 092895
2009	19,551,962	155,141,798	0 126026
2008	15,476,965	170,704,588	0 090665
2007	10,080,287	127,673,989	0 078953

2	**Total** of line 1, column (d)	2	0 485636
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 097127
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	216,432,788
5	Multiply line 4 by line 3	5	21,021,467
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	105,544
7	Add lines 5 and 6	7	21,127,011
8	Enter qualifying distributions from Part XII, line 4	8	17,610,096

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary–see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	211,088
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	211,088
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	211,088
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 167,719		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 20,000		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	187,719
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	356
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	23,725
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ _____ (2) On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DC, KS, VA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation .*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ WWW CHARLESKOCHFOUNDATION ORG

14 The books are in care of ▶ KARA WORTHINGTON Telephone no ▶ (316) 828-6768

Located at ▶ 4111 E 37TH ST N WICHITA KS ZIP+4 ▶ 67220

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . 16 No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ALLISON KASIC 1515 N COURTHOUSE RD STE 200 ARLINGTON,VA 22201	PROGRAM MANAGER 40 0	63,313	3,591	1,440
RYAN STOWERS 1515 N COURTHOUSE RD STE 200 ARLINGTON,VA 22201	PGM DIR HIGHER EDUC 40 0	185,206	11,112	1,440
JOHN HARDIN 1515 N COURTHOUSE RD STE 200 ARLINGTON,VA 22201	PROGRAM MANAGER 40 0	73,063	4,384	1,440
DEREK JOHNSON 1515 N COURTHOUSE RD STE 200 ARLINGTON,VA 22201	PGM OFF HIGHER EDUC 40 0	81,250	4,875	1,440
CHARLES RUGER 1515 N COURTHOUSE RD STE 200 ARLINGTON,VA 22201	PGM OFF HIGHER EDUC 40 0	70,063	7,220	1,320
Total number of other employees paid over $50,000 ▶				2

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3. ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	199,216,809
b	Average of monthly cash balances	1b	17,864,133
c	Fair market value of all other assets (see instructions)	1c	2,647,777
d	**Total** (add lines 1a, b, and c)	1d	219,728,719
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	219,728,719
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,295,931
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	216,432,788
6	**Minimum investment return.** Enter 5% of line 5	6	10,821,639

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	10,821,639
2a	Tax on investment income for 2012 from Part VI, line 5	2a	211,088		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	211,088
3	Distributable amount before adjustments Subtract line 2c from line 1			3	10,610,551
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	10,610,551
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	10,610,551

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	17,610,096
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	17,610,096
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	17,610,096

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				10,610,551
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0	
b Total for prior years 2010 , 2009 , 2008		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007 3,779,603				
b From 2008 7,054,261				
c From 2009 11,912,574				
d From 2010 8,931,145				
e From 2011 10,279,637				
f **Total** of lines 3a through e	41,957,220			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 17,610,096				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				10,610,551
e Remaining amount distributed out of corpus	6,999,545			
5 Excess distributions carryover applied to 2012				
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	48,956,765			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	3,779,603			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	45,177,162			
10 Analysis of line 9				
a Excess from 2008 7,054,261				
b Excess from 2009 11,912,574				
c Excess from 2010 8,931,145				
d Excess from 2011 10,279,637				
e Excess from 2012 6,999,545				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

CHARLES G KOCH

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

CHARLES KOCH FOUNDATION
1515 N COURTHOUSE ROAD SUITE 200
ARLINGTON,VA 22201
(703) 875-1600

b The form in which applications should be submitted and information and materials they should include

ORGANIZATIONS SEEKING GRANTS FROM THE FOUNDATION AND WHICH MEET THE CRITERIA LISTED IN ATTACHMENT 16 SHOULD SUBMIT A SHORT LETTER (NO MORE THAN THREE PAGES) OUTLINING THEIR REQUEST THE LETTER SHOULD CLEARLY AND SUCCINCTLY STATE 1 PROSPECTIVE GRANTEE'S MISSION AND GOALS, 2 SPECIFIC PROJECT FOR WHICH SUPPORT IS BEING SOUGHT, 3 AMOUNT OF FUNDING REQUESTED, 4 NAME, TITLE, ADDRESS, TELEPHONE NUMBER, AND EMAIL ADDRESS OF THE PRIMARY CONTACT PERSON, AND, 5 CURRENT ANNUAL BUDGET OR AUDITED FINANCIAL STATEMENTS IF APPLICABLE, PLEASE ALSO INCLUDE A LIST OF OTHER SUPPORT (E G , FUNDING, IN-KIND CONTRIBUTIONS) SECURED FOR THE PROJECT BECAUSE THE FOUNDATION GENERALLY ONLY SUPPORTS SECTION 501(C)(3) PUBLIC CHARITIES, IT ALSO REQUIRES THAT YOU SUBMIT VERIFICATION FROM THE IRS THAT YOUR ORGANIZATION IS EXEMPT FROM FEDERAL INCOME TAX AS A SECTION 501(C)(3) ORGANIZATION AND IS CLASSIFIED AS A PUBLIC CHARITY UNDER SECTION 509(A) OF THE INTERNAL REVENUE CODE THE FOUNDATION KINDLY REQUESTS THAT NO

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE FOUNDATION PRIMARILY SUPPORTS RESEARCH AND EDUCATION PROGRAMS THAT ANALYZE THE IMPACT OF FREE SOCIETIES, IN PARTICULAR HOW THEY ADVANCE THE WELL-BEING OF MANKIND BUILDING ON THIS KNOWLEDGE, THE CHARLES KOCH FOUNDATION FOSTERS THE PARTNERSHIP OF SCIENTISTS AND PRACTITIONERS IN ORDER TO INTEGRATE THEORY AND PRACTICE BY DOING SO, THE FOUNDATION STRIVES TO DEVELOP MARKET-BASED TOOLS THAT ENABLE INDIVIDUALS, INSTITUTIONS AND SOCIETIES TO PROSPER THE CHARLES KOCH FOUNDATION FOCUSES ON A SELECT NUMBER OF PROGRAMS WHERE IT BELIEVES IT IS BEST POSITIONED TO SUPPORT POSITIVE SOCIAL CHANGE THE FOUNDATION ENCOURAGES ORGANIZATIONS SEEKING SUPPORT TO FIRST FAMILIARIZE THEMSELVES WITH THOSE DOMAINS BY EXAMINING THE FOUNDATION'S AREAS OF INTEREST IN ADDITION, THE FOUNDATION PLACES SEVERAL LIMITATIONS ON THE REQUESTS IT CONSIDERS 1 THE FOUNDATION PRIMARILY MAKES GRANTS TO SECTION 501(C)(3) PUBLIC CHARITIES THE CHARLES KOCH FOUNDATION DOES NOT SUPPORT FOR-PROFIT CORPORATIONS OR INDIVIDUALS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total ▶ **3a**				14,920,448
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,415	
4 Dividends and interest from securities			14	3,918,994	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	1,811,978	
8 Gain or (loss) from sales of assets other than inventory			18	5,391,703	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				11,124,090	
13 **Total.** Add line 12, columns (b), (d), and (e)					13 11,124,090

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** 2013-11-15

Signature of officer or trustee Date

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature
Firm's name ▶	BKD LLP
	1551 N WATERFRONT PKWY S
Firm's address ▶	WICHITA, KS 672066601

[redacted]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ZAZOVE			
ACIS CLO			
EFPRP			
EXCELSIOR			
WINTON			
HIGHLAND			
MPAM			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,854,954		7,199,070	655,884
50,259,461		50,124,449	135,012
14,265,788		12,768,305	1,497,483
18,135		34,669	-16,534
47,447,974		47,000,000	447,974
11,524,598		8,719,489	2,805,109
7,363,002		7,496,227	-133,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			655,884
			135,012
			1,497,483
			-16,534
			447,974
			2,805,109
			-133,225

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CHARLES CHASE KOCH PO BOX 2256 WICHITA,KS 672012256	DIRECTOR 0 25	0	0	0
ELIZABETH B KOCH PO BOX 2256 WICHITA,KS 672012256	DIRECTOR 0 25	0	0	0
CHARLES G KOCH PO BOX 2256 WICHITA,KS 672012256	DIRECTOR/ CHAIRMAN 0 25	0	0	0
HEATHER LOVE PO BOX 2256 WICHITA,KS 672012256	TREASURER 1 0	0	0	0
BRIAN MENKES 1515 N COURTHOUSE RD SUITE 200 ARLINGTON,VA 22201	SECRETARY 1 0	0	0	0
RICHARD H FINK 1515 N COURTHOUSE RD SUITE 200 ARLINGTON,VA 22201	PRESIDENT/DIRECTOR 1 0	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Academy on Capitalism and Limited Government Found 528 E Green St Suite 202 Champaign, IL 61820	None	Public	General Support	25,000
Acton Institute 161 Ottawa Avenue NW Suite 301 Grand Rapids, MI 495032718	None	Public	General Support	10,000
Advance Arkansas Institute 55 Fontenay Circle Little Rock, AR 72223	None	Public	General Support	5,500
Alabama Policy Institute 402 Office Park Dr Suite 300 Birmingham, AL 35223	None	Public	General Support	14,000
Albany State University Foundation 504 College Drive Albany, GA 31705	None	Public	General Support	11,000
Alexander Hamilton Institute for the Study of Western Civilizati 21 West Park Clinton, NY 13323	None	Public	General Support	27,800
Alma College 614 W Superior St Alma, MI 48801	None	Public	General Support	8,500
American Enterprise Institute 1150 Seventeenth St NW Washington, DC 20036	None	Public	General Support	510,000
American Spectator Foundation 1611 N Kent St Suite 901 Arlington, VA 22209	None	Public	General Support	10,000
American University Department of Government School of Public Affairs Washington, DC 200168130	None	Public	General Support	15,000
America's Future Foundation 1513 16th Street NW Washington, DC 20036	None	Public	General Support	12,000
Association of Private Enterprise 300 Fletcher Chattanooga, TN 37403	None	Public	General Support	60,000
Assumption College 500 Salisbury Street Worcester, MA 01609	None	Public	General Support	6,000
Atlas Economic Research Foundation 1201 L Street NW 2nd Floor Washington, DC 20005	None	Public	General Support	20,000
Augustana College 2001 South Summit Ave Sioux Falls, SD 57197	None	Public	General Support	5,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Azusa Pacific University 901 E Alosta Ave PO Box 7000 Azusa, CA 917027000	None	Public	General Support	15,000
Ball State University 2800 W Bethel Ave Muncie, IN 47308	None	Public	General Support	3,000
Barton College PO Box 5000 Wilson, NC 27893	None	Public	General Support	9,300
Bastiat Society 175 King St Charleston, SC 29401	None	Public	General Support	8,000
Beloit College 700 College Street Beloit, WI 53511	None	Public	General Support	6,000
Bernard Center 10120 Chapel Road Potomac, MD 20854	None	Public	General Support	40,000
Berry College PO Box 495024 Mount Berry, GA 301495024	None	Public	General Support	11,900
Bethel College 1001 Bethel Circle Mishawaka, IN 46545	None	Public	General Support	12,100
Bill of Rights Institute 200 N Glebe Road Suite 200 Arlington, VA 22203	None	Public	General Support	300,000
Birmingham Southern College 900 Arkadelphia Rd Birmingham, AL 35254	None	Public	General Support	6,500
Boise State University Foundation 2225 University Drive Boise, ID 83706	None	Public	General Support	7,800
Brown University PO Box 1893 Providence, RI 02912	None	Public	General Support	13,000
Buena Vista University 610 W Fourth Street Storm Lake, IA 50588	None	Public	General Support	500
California Public Policy Center 18002 Irvine Blvd Suite 108 Tustin, CA 92780	None	Public	General Support	25,000
California State University East Bay Foundation 25800 Carlos Bee Blvd Hayward, CA 94542	None	Public	General Support	27,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Campbell University Lundy Chair Offices PO Box 1145 Buies Creek, NC 27506	None	Public	General Support	24,000
Carthage College 2001 Alford Park Drive Kenosha, WI 53140	None	Public	General Support	9,500
Cato Institute 1000 Massachusetts Avenue NW Washington, DC 20001	None	Public	General Support	10,000
Cedarville University 251 North Main Street Cedarville, OH 45314	None	Public	General Support	9,000
Center for Excellence in Higher Education 8520 Allison Pointe Blvd Suite 220 Indianapolis, IN 46250	None	Public	General Support	8,000
Center For Independent Thought 1420 Walnut St Suite 1011 Philadelphia, PA 19102	None	Public	General Support	15,000
Chapman University 1 University Drive Orange, CA 92866	None	Public	General Support	18,000
Charleston Southern University 9200 University Boulevard Charleston, SC 29406	None	Public	General Support	7,500
Chestnut Hill College 9601 Germantown Avenue Philadelphia, PA 19118	None	Public	General Support	6,000
Christopher Newport University 1 University Place Newport News, VA 236062998	None	Public	General Support	14,000
Claremont McKenna College 500 E 9th St Claremont, CA 917116400	None	Public	General Support	29,400
Clemson University College of Business Behavioral Science Clemson, SC 296341301	None	Public	General Support	165,000
College of Charleston 66 George Street Charleston, SC 29424	None	Public	General Support	40,000
College of William and Mary PO Box 8795 Williamsburg, VA 23187	None	Public	General Support	2,500
Competitive Enterprise Institute 1899 L Street NW Floor 12 Washington, DC 20036	None	Public	General Support	10,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Connecticut Association of Scholars 1615 Stanley Street New Britain, CT 06050	None	Public	General Support	6,000
Cornell College 600 First Street SW Mount Vernon, IA 52314	None	Public	General Support	3,000
Dartmouth College Department of Economics 6106 Rockefeller Hall Hanover, NH 03755	None	Public	General Support	10,000
Donors Trust 109 North Henry St Alexandria, VA 22314	None	Public	General Support	25,000
Duquesne University 600 Forbes Avenue Pittsburgh, PA 15282	None	Public	General Support	22,000
Education Action Group Foundation 801 W Norton Suite 1 Muskegon, MI 49441	None	Public	General Support	45,000
Encounter for Education and Culture 900 Broadway New York, NY 10003	None	PRIVATE	General Support	50,000
Florida Gulf Coast University 10501 FGCU Boulevard South Fort Myers, FL 339656565	None	Public	General Support	37,500
Florida State University 160 Bellamy Building 113 Collegiate Loop Tallahassee, FL 323062160	None	Public	General Support	297,341
Foundation for Individual Rights in Education 601 Walnut Street Suite 510 Philadelphia, PA 19106	None	Public	General Support	75,000
Fraser Institute 1770 Burrand St 4th floor Vancouver, BRITISH COLUMBIA V6J 3G7 CA	None	Public	General Support	115,000
Free Enterprise Foundation PO Box 21569 Charleston, SC 29413	None	Public	General Support	20,000
Future of Freedom Foundation 11350 Random Hill Rd Stuite 800 Fairfax, VA 22030	None	Public	General Support	5,000
George Fox University 414 N Meridian Street Newberg, OR 97132	None	Public	General Support	12,650
George Mason University Foundation 4400 University Drive Fairfax, VA 22030	None	Public	General Support	5,455,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
George Washington University 2121 Eye Street NW Washington, DC 20052	None	Public	General Support	116,000
Georgia State University Foundation One Park Place South Suite 549 Atlanta, GA 30302	None	Public	General Support	9,000
Grove City College 100 Campus Drive Grove City, PA 16127	None	Public	General Support	32,800
Hampden-Sydney College 1 College Road Hampden Sydney, VA 23943	None	Public	General Support	30,600
Hanover College PO Box 108 Hanover, IN 47243	None	Public	General Support	3,800
Harvard University 95 Dunster Street Cambridge, MA 021385926	None	Public	General Support	7,500
High Point University 833 Montlieu Ave High Point, NC 27262	None	Public	General Support	6,000
Hillsdale College 33 E College Street Hillsdale, MI 49242	None	Public	General Support	34,000
Indiana University 513 North Park Avenue Bloomington, IN 474083895	None	Public	General Support	8,000
Indiana University Foundation PO Box 500 Bloomington, IN 47402	None	Public	General Support	26,000
Institute for Energy Research 1100 H Street NW Suite 400 Washington, DC 20005	None	Public	General Support	5,000
Institute for Humane Studies 3351 Fairfax Dr MSN 1C5 Arlington, VA 22201	None	Public	General Support	2,947,500
International Society for Individual Liberty 237 Kearny St Suite 120 San Francisco, CA 94108	None	Public	General Support	7,000
Jack Miller Center 111 Presidential Blvd 146 Philadelphia, PA 19004	None	Public	General Support	250,000
James Madison Institute PO Box 37460 Tallahassee, FL 32315	None	Public	General Support	25,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
James Madison University 800 S Main St Harrisonburg, VA 22807	None	Public	General Support	21,200
John Brown University 200 West University Street Siloam Springs, AR 72761	None	Public	General Support	3,000
John Locke Foundation 200 West Morgan Street Suite 200 Raleigh, NC 27601	None	Public	General Support	25,000
Johns Hopkins University 347 Gilman Hall Baltimore, MD 21218	None	Public	General Support	10,000
Johnson and Wales University 801 West Trade Street Charlotte, SC 28202	None	Public	General Support	8,000
Kennesaw State University Research Service Foundation 1000 Chastain Rd Kennesaw, GA 30144	None	Public	General Support	2,500
Kenyon College Kenyon College Gambier, OH 43022	None	Public	General Support	2,000
La Sierra University 4500 Riverwalk Pkwy Riverside, CA 92515	None	Public	General Support	7,500
Lake Forest College 555 N Sheridan Road Lake Forest, IL 60045	None	Public	General Support	7,000
Lakeland College PO Box 359 Sheboygan, WI 530820359	None	Public	General Support	7,000
Leadership Program of the Rock 1777 South Harrison Street Suite 807 Denver, CO 80210	None	Public	General Support	5,000
Lee University 1120 North Ocoee St Cleveland, TN 37320	None	Public	General Support	6,500
Liberty on the Rocks PO Box 621443 Littleton, CO 80162	None	Public	General Support	10,000
Liberty Source 255 South Main Suite 100 Logan, UT 84321	None	Public	General Support	177,500
Lindenwood University 209 S Kingshighway St Charles, MO 63301	None	Public	General Support	6,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Long Island University 700 Northern Boulevard Brookville, NY 11548	None	Public	General Support	8,000
Loyola University New Orleans 6363 St Charles Avenue New Orleans, LA 70118	None	Public	General Support	42,000
Manhattan Institute for Policy 52 Vanderbilt Ave New York, NY 10017	None	Public	General Support	95,000
Massachusetts Institute of Technology 77 Massachusetts Ave Cambridge, MA 02139	None	Public	General Support	25,000
McGill University 853 Sherbrooke Street West Montreal, Quebec H3A 2T6 CA	None	Public	General Support	10,000
McKendree Unviersity 701 College Road Lebanon, IL 62254	None	Public	General Support	7,000
Menlo College 1000 El Camino Real Atherton, CA 940274301	None	Public	General Support	2,000
Mercatus Center 3351 N Fairfax Drive Founders Hall 4th Floor Arlington, VA 222014433	None	Public	General Support	11,000
Mercer University 1400 Coleman Avenue Macon, GA 31207	None	Public	General Support	21,300
Metropolitan State College Denver Foundation 1512 Larimer Street Suite 900 Campus Box 14 Denver, CO 802178424	None	Public	General Support	18,000
Michigan State University Department of Economics Marshall Hall East Lansing, MI 488241038	None	Public	General Support	20,000
Middle Tennessee State University Wood-Stegall Center Box 109 Murfreesboro, TN 37132	None	Public	General Support	10,000
Mississippi State University PO Box 5227 Mississippi State, MS 39762	None	Public	General Support	10,000
Montana State University 307D Linfield Hall Bozeman, MT 597170292	None	Public	General Support	5,500
Mount St Mary's University 16300 Old Emmitsburg Road Emmitsburg, MD 21727	None	Public	General Support	1,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Murray State University 102 Curris Center Murray, KY 42071	None	Public	General Support	7,500
New York University 19 W 4th Street New York, NY 10012	None	Public	General Support	35,500
Network of Enlightened Women 1210 Massachusetts Ave NW 1201 Washington, DC 20005	None	Public	General Support	4,000
Nicholls State University Picciola Hall PO Box 2001 Thibodaux, LA 70310	None	Public	General Support	-395
North Carolina State University 2200 Hillsborough Raleigh, NC 27695	None	Public	General Support	9,000
Northwest Nazarene University 623 Holly St Nampa, ID 83686	None	Public	General Support	5,500
Northwest University PO Box 579 Kirkland, WA 98033	None	Public	General Support	8,000
Northwestern Oklahoma State University Herod Hall Second Floor Alva, OK 73717	None	Public	General Support	3,500
Northwestern University 375 E Chicago Ave Chicago, IL 60611	None	Public	General Support	404
Northwood University University of the Aftermarket 4000 Whiting Drive Midland, MI 48640	None	Public	General Support	34,000
Ohio State University 281 W Lane Ave Columbus, OH 43210	None	Public	General Support	12,000
Ohio State University Foundation 1480 West Lane Avenue Columbus, OH 43221	None	Public	General Support	100,000
Ohio University 1 Ohio University Athens, OH 45701	None	Public	General Support	4,400
Ohio University Foundation Bentley Annex 415 Athens, OH 45701	None	Public	General Support	37,500
Pennsylvania State University 201 Old Main University Park, PA 16802	None	Public	General Support	14,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Pepperdine University 24255 Pacific Coast Highway Malibu, CA 902634819	None	Public	General Support	17,500
Presbyterian College 503 Broad Street Clinton, SC 29325	None	Public	General Support	2,500
Providence College Sowa Hall 236 Providence, RI 02918	None	Public	General Support	7,700
Ramapo College Foundation 505 Ramapo Valley Rd Mahwah, NJ 07430	None	Public	General Support	8,000
Regent University 1000 Regent University Drive Virginia Beach, VA 234649800	None	Public	General Support	23,000
Rensselaer Polytechnic Institute 110 8th St Troy, NY 12180	None	Public	General Support	8,000
Robert Morris University 6001 University Boulevard Moon Township, PA 151081189	None	Public	General Support	8,000
Rockford College 5050 East State Street Rockford, IL 61108	None	Public	General Support	14,000
Rogers State University Foundation 1701 West Will Rogers Boulevard Claremore, OK 74017	None	Public	General Support	8,000
Rutgers University 303 Cooper Street Camden, NJ 08102	None	Public	General Support	13,500
Ryan Foundation 805 Brower Road Wayne, PA 19087	None	Public	General Support	17,500
Salisbury University 1101 Camden Avenue Salisbury, MD 218016860	None	Public	General Support	12,000
Sarah Lawrence College 1 Mead Way Bronxville, NY 10708	None	Public	General Support	5,500
Seton Hall University 400 South Orange Avenue South Orange, NJ 07079	None	Public	General Support	12,000
Skidmore College 815 North Broadway Saratoga Springs, NY 12866	None	Public	General Support	4,600

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Southeastern Baptist Theologic PO Box 1889 Wake Forest, NC 27588	None	Public	General Support	8,000
Southern Illinois University PO Box 1620 Edwardsville, IL 620261620	None	Public	General Support	14,300
Southern Illinois University Foundation 1235 Douglas Drive Mail Code 6805 Carbondale, IL 629014308	None	Public	General Support	6,000
Southern Methodist University PO Box 750333 Dallas, TX 752750333	None	Public	General Support	100,000
St Ambrose University 518 W Locust Street Davenport, IA 52803	None	Public	General Support	15,000
St Anselm College 100 Saint Anselm Dr Manchester, NH 03102	None	Public	General Support	3,000
St Bonaventure University 3261 W State Road St Bonaventure, NY 14778	None	Public	General Support	5,000
St Cloud State University Foundation 720 4th Avenue South Saint Cloud, MN 563014498	None	Public	General Support	6,000
St Edwards University 3001 S Congress Ave Austin, TX 78704	None	Public	General Support	4,000
St John Fisher College 3690 East Avenue Rochester, NY 14618	None	Public	General Support	15,500
St John's University 8000 Utopia Parkway Queens, NY 11439	None	Public	General Support	32,000
St Lawrence University 23 Romoda Drive Canton, NY 13617	None	Public	General Support	15,200
St Mary's College of Maryland 18952 E Fisher Rd Sait Marys City, MD 20686	None	Public	General Support	7,000
St Vincent College 300 Frasor Purchase Road Latrobe, PA 15650	None	Public	General Support	10,000
State Policy Network 1655 North Fort Meyer Drive Suite 360 Arlington, VA 22209	None	Public	General Support	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
State University of New York 101 Broad Street Plattsburgh, NY 12901	None	Public	General Support	7,500
Step Up Ministry 1701 Oberlin Road Raleigh, NC 27608	None	Public	General Support	25,000
Stephen F Austin State University Box 13004 SFA Station Nacogdoches, TX 759623004	None	Public	General Support	20,100
Stonehill College 320 Washington St North Easton, MA 02357	None	Public	General Support	14,000
Students for Liberty PO Box 17321 Arlington, VA 22216	None	Public	General Support	50,000
Suffolk University 8 Ashburton Place Boston, MA 021082770	None	Public	General Support	44,734
Texas A&M University Blocker 227 College Station, TX 77843	None	Public	General Support	16,700
Texas State University 601 University Drive San Marcos, TX 78666	None	Public	General Support	10,750
The Catholic University of America 620 Michigan Ave NE Washington, DC 20064	None	Public	General Support	8,000
The Citadel Foundation 171 Moultrie Street Charleston, SC 294096230	None	Public	General Support	4,500
The College of New Jersey 2000 Pennington Rd Ewing, NJ 08628	None	Public	General Support	18,200
The Educational Reviewer PO Box 4 Mecosta, MI 49332	None	Public	General Support	4,000
The Fund for American Studies 1706 New Hampshire Ave NW Washington, DC 20009	None	Public	General Support	48,000
The Phillips Foundation One Massachusetts Ave NW Suite 620 Washington, DC 20001	None	PRIVATE	General Support	55,000
Tower Foundation of San Jose State One Washington Square San Jose, CA 95192	None	Public	General Support	25,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Trinity College 300 Summit Street Hartford, CT 06106	None	Public	General Support	10,000
Troy University Foundation 216 Adams Administration Building Troy, AL 36082	None	Public	General Support	274,500
Tulane University 6823 St Charles Avenue New Orleans, LA 70118	None	Public	General Support	6,000
UCLA Foundation 10920 Wilshire Boulevard Suite 900 Los Angeles, CA 90024	None	Public	General Support	9,000
University Enterprises Corporation 5500 University Parkway San Bernardino, CA 92407	None	Public	General Support	7,000
University of Akron 302 Buchtel Commons The University of Akron Akron, OH 44325	None	Public	General Support	16,750
University of Alaska PO Box 756080 Fairbanks, AK 99775	None	Public	General Support	15,000
University of Arizona Foundation PO Box 210109 1111 North Cherry Avenue Tucson, AZ 857210109	None	Public	General Support	249,520
University of Central Arkansas UCA Box 4986 Conway, AR 720354986	None	Public	General Support	15,000
University of Colorado 1420 Austin Bluffs Pkwy Colorado Springs, CO 80918	None	Public	General Support	3,000
University of Dallas 1845 E Northgate Dr Irving, TX 75062	None	Public	General Support	21,000
University of Dayton 300 College Park Dayton, OH 45469	None	Public	General Support	15,750
University of Georgia Foundation Milledge Centre Suite 100 394 South Milledge Ave Athens, GA 306025582	None	Public	General Support	3,800
University of Hawaii 200 W Kawili St Hilo, HI 967204091	None	Public	General Support	7,500
University of Louisville Department of Political Science Ford Hall Room 205 Louisville, KY 40292	None	Public	General Support	14,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
University of Maryland 1000 Hilltop Circle ECS 329 Baltimore, MD 21250	None	Public	General Support	5,700
University of Memphis 341 Administration Building Memphis, TN 38152	None	Public	General Support	6,000
University of Mississippi PO Box 1848 University, MS 38677	None	Public	General Support	8,500
University of Missouri 904 Virginia Ave Columbia, MO 65201	None	Public	General Support	27,000
University of Nebraska 6001 Dodge Street Omaha, NE 68182	None	Public	General Support	9,949
University of Nevada 1664 N Virginia Street Reno, NV 895570208	None	Public	General Support	7,000
University of New Orleans Foundation 2021 Lakeshore Drive Suite 420 New Orleans, LA 70122	None	Public	General Support	6,000
University of North Carolina 103 South Building CB 9100 Chapel Hill, NC 27599	None	Public	General Support	116,800
University of Notre Dame 400 Main Building Notre Dame, IN 46556	None	Public	General Support	18,000
University of Oklahoma 729 Elm Avenue Hester Hall Room 329 Norman, OK 730190390	None	Public	General Support	3,000
University of Richmond G - 17 Maryland Hall Univ Of Richmond, VA 23173	None	Public	General Support	15,500
University of San Diego 5998 Alcala Park San Diego, CA 92110	None	Public	General Support	11,000
University of San Francisco 2130 Fulton Street San Francisco, CA 941171080	None	Public	General Support	8,000
University of South Alabama 2000 University Cmns Mobile, AL 366880001	None	Public	General Support	6,500
University of Tennessee 615 McCallie Avenue Chattanooga, TN 374032598	None	Public	General Support	27,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
University of Tulsa 800 South Tucker Drive Tulsa, OK 74104	None	Public	General Support	10,000
University of Virginia c/o UVA Office of Sponsored Program PO Box 400195 Charlottesville, VA 22904	None	Public	General Support	5,000
University of Virginia's College One College Avenue Wise, VA 24293	None	Public	General Support	5,000
University of Washington Campus Box 351122 Seattle, WA 981059995	None	Public	General Support	7,000
University of West Florida Foundation 11000 University Parkway Pensacola, FL 32514	None	Public	General Support	10,000
University of Wisconsin 2420 Nicolet Drive Green Bay, WI 54311	None	Public	General Support	9,500
University of Wisconsin Foundation 161 Bascom Hall 500 Lincoln Dr Madison, WI 53706	None	Public	General Support	11,000
UNLV Foundation 4505 S Maryland Pkwy PO Box 451006 Las Vegas, NV 89154	None	Public	General Support	5,000
Ursinus College 601 E Main Street PO Box 1000 Collegeville, PA 19426	None	Public	General Support	8,000
Utah State University 1400 Old Main Hill Logan, UT 843221400	None	Public	General Support	170,000
Wake Forest University 1834 Wake Forest Road Winston Salem, NC 27106	None	Public	General Support	8,000
Washington College 300 Washington Avenue Chestertown, MD 21620	None	Public	General Support	8,000
Washington University One Brookings Drive St Louis, MO 63130	None	Public	General Support	15,000
Webber International University 1201 N Scenic Hwy Babson Park, FL 33827	None	Public	General Support	4,500
Wellesley College 106 Central Street Wellesley, MA 02481	None	Public	General Support	8,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Wesleyan College 4760 Forsyth Road Macon, GA 31210	None	Public	General Support	9,000
West Texas A&M University 2501 4th Avenue Canyon, TX 79016	None	Public	General Support	12,500
West Virginia University PO Box 6025 Morgantown, WV 265066025	None	Public	General Support	46,200
West Virginia University Foundation 1 Waterfront Place 7th Floor PO Box 1650 Morgantown, WV 265071650	None	Public	General Support	72,100
Western Carolina University AFIE Department FO 121 College of Business Cullowhee, NC 28723	None	Public	General Support	14,000
Western Kentucky University 1906 College Heights Blvd Bowling Green, KY 42101	None	Public	General Support	15,000
Wheaton College 501 College Ave Wheaton, IL 601875593	None	Public	General Support	6,600
Wheeling Jesuit University 316 Washington Ave Wheeling, WV 26003	None	Public	General Support	8,000
William Jewell College 500 College Hill Liberty, MO 64068	None	Public	General Support	5,100
Winston - Salem State University 601 Martin Luther King Jr Drive Winston Salem, NC 27110	None	Public	General Support	8,600
Wofford College 429 N Church St Spartanburg, SC 29303	None	Public	General Support	7,500
Yeshiva University 500 West 185th Street New York, NY 10033	None	Public	General Support	3,000
Young Americans for Liberty Foundation PO Box 2751 Arlington, VA 22202	None	Public	General Support	17,000
American Council of Trustees and Alumni 1726 M Street NW Suite 802 Washington, DC 200364525	None	Public	Educational Programs	41,250
American Enterprise Institute 1150 17th Street NW Washington, DC 20036	None	Public	Educational Programs	7,289

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
American Legislative Exchange Council 1101 Vermont Ave NW 11th Floor Washington, DC 20005	None	Public	Educational Programs	71,100
Americans for Prosperity Foundation 2111 Wilson Boulevard Suite 350 Arlington, VA 222013001	None	Public	Educational Programs	43,373
America's Future Foundation 1513 16th Street NW Washington, DC 20036	None	Public	Educational Programs	21,723
Atlas Economic Research Foundation 1201 L Street NW Floor 12 Washington, DC 20005	None	Public	Educational Programs	8,522
Bill of Rights Institute 200 N Glebe Rd Suite 200 Arlington, VA 22203	None	Public	Educational Programs	15,785
Center for Competitive Politics 124 South West Street Sutie 201 Alexandria, VA 22314	None	Public	Educational Programs	34,828
Competitive Enterprise Institute 1899 L Street NW Floor 12 Washington, DC 20005	None	Public	Educational Programs	6,700
Daily Caller News Foundation 1050 17th St NW Suite 900 Washington, DC 20036	None	Public	Educational Programs	11,064
Franklin Center for Government and Public Integrit 1229 King Street 3rd Floor Alexandria, VA 22314	None	Public	Educational Programs	8,702
Foundation for Individual Rights in Education 601 Walnut Street Suite 510 Philadelphia, PA 19106	None	Public	Educational Programs	5,561
Independent Women's Forum 1875 I Street NW Suite 500 Washington, DC 20006	None	Public	Educational Programs	9,115
Institute for Humane Studies 3301 N Fairfax Drive Suite 440 Arlington, VA 22201	None	Public	Educational Programs	31,270
Jack Miller Center ATTN Michael Deshaies 111 Presidential Blvd Philadelphia, PA 19004	None	Public	Educational Programs	2,500
Kansas Policy Institute ATTN Dave Trabert 250 N Water Wichita, KS 67202	None	Public	Educational Programs	5,000
Law & Economics Center 3301 Fairfax Drive Arlington, VA 22201	None	Public	Educational Programs	51,572

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Leadership Institute 1101 N Highland Street Arlington, VA 22201	None	Public	Educational Programs	7,199
Manhattan Institute for Policy Research 52 Venderbilt Avenue 3rd Floor New York, NY 10017	None	Public	Educational Programs	5,000
National Center for Policy Analysis 12770 Coit Road Suite 800 Dallas, TX 75251	None	Public	Educational Programs	4,674
National Right to Work Legal Defense and Education 8001 Braddock Road Suite 600 Springfield, VA 22150	None	Public	Educational Programs	15,065
National Taxpayers Union Foundation 108 North Alfred Street Alexandria, VA 22314	None	Public	Educational Programs	6,811
Philanthropy Roundtable 1730 M Street NW Suite 601 Washington, DC 20036	None	Public	Educational Programs	5,777
Reason Foundation 3415 S Sepulveda Blvd Suite 400 Los Angeles, CA 90034	None	Public	Educational Programs	30,749
State Policy Network 1655 North Fort Meyer Drive Arlington, VA 22209	None	Public	Educational Programs	14,223
Students for Liberty PO Box 17321 Arlington, VA 22216	None	Public	Educational Programs	6,828
Sunshine Review 3901 Courtland Circle Alexandria, VA 22201	None	Public	Educational Programs	26,223
Tax Foundation 529 14th Street NW Suite 420 Washington, DC 20045	None	Public	Educational Programs	19,731
The Becket Fund 3000 K St NW Ste 200 Washington, DC 10007	None	Public	Educational Programs	6,644
The Federalist Society for Law and Public Policy S 1015 18th Street NW Suite 425 Washington, DC 20036	None	Public	Educational Programs	4,836
The Fund for American Studies 1706 New Hampshire Ave NW Washington, DC 20009	None	Public	Educational Programs	13,949
The Heritage Foundation 214 Massachusetts Avenue NE Washington, DC 20002	None	Public	Educational Programs	11,274

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
The Phillips Foundation One Massachusetts Avenue NW Suite 620 Washington, DC 20001	None	Private	Educational Programs	8,073
Washington Policy Center ATTN Dann Mead Smith PO Box 3643 Seattle, WA 98124	None	Public	Educational Programs	5,000
Young Americans for Liberty 3030 Clarendon Blvd Suite 200 Arlington, VA 22201	None	Public	Educational Programs	5,685
Total ▶ **3a**				14,920,448

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Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012

Name of the organization
CHARLES KOCH FOUNDATION

Employer identification number
48-0918408

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
CHARLES KOCH FOUNDATION

Employer identification number
48-0918408

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHARLES G KOCH 4111 E 37TH STREET N WICHITA, KS 67220	$ 60,025,464	☑ Person ☐ Payroll ☐ Noncash (Complete Part II if there is a noncash contribution)
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II if there is a noncash contribution)
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II if there is a noncash contribution)
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II if there is a noncash contribution)
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II if there is a noncash contribution)
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II if there is a noncash contribution)

Name of organization
CHARLES KOCH FOUNDATION

Employer identification number
48-0918408

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
CHARLES KOCH FOUNDATION

Employer identification number
48-0918408

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

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TY 2012 Accounting Fees Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,103			6,103

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
OFFICE EQUIPMENT	2011-01-01	16,630	1,440	SL	5	3,326			
UNIMPROVED LAND	1988-05-13	3,377,694		L					
LEASEHOLD IMPROVE	2011-01-01	1,000,652	807,679	SL	15	194,641			
LEASEHOLD IMPROVE	2011-08-31	2,932	272	SL	15	586			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Expenditure Responsibility Statement

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
THE PHILLIPS FOUNDATION	ONE MASSACHUSSETS AVENUE NW SUITE WASHINGTON, DC 20001	2012-03-06	25,000	GENERAL SUPPORT	25,000	NO	12/31/2012 FULL AND COMPLETE REPORT OF ALL EXPENDITURES		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE, THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE
THE PHILLIPS FOUNDATION	ONE MASSACHUSSETS AVENUE NW SUITE WASHINGTON, DC 20001	2012-05-21	5,000	GENERAL SUPPORT	5,000	NO	12/31/2012 FULL AND COMPLETE REPORT OF ALL EXPENDITURES		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE, THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE
ENCOUNTER FOR EDUCATION AND CULTURE	900 BROADWAY NEW YORK, NY 10003	2012-06-13	50,000	GENERAL SUPPORT	50,000	NO	12/31/2012 FULL AND COMPLETE REPORT OF ALL EXPENDITURES		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE, THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE
THE PHILLIPS FOUNDATION	ONE MASSACHUSSETS AVENUE NW SUITE WASHINGTON, DC 20001	2012-12-18	25,000	GENERAL SUPPORT	25,000	NO	12/31/2012 FULL AND COMPLETE REPORT OF ALL EXPENDITURES		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE, THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE

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TY 2012 Investments - Other Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ELLIOT INTERNATIONAL FUND	FMV	49,689,725	56,304,956
HIGHLAND COMMINGLED FUND	FMV	1,280,511	1,280,511
EFPRP	FMV	135,096,918	138,515,021

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TY 2012 Land, Etc. Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
OFFICE EQUIPMENT	16,630	4,766	11,864	
LEASEHOLD IMPROVE				
LEASEHOLD IMPROVE				

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TY 2012 Legal Fees Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL SERVICES	7,219			7,219

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TY 2012 Other Decreases Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Description	Amount
BOOK LOSS ON TRANSFER OF INVESTMENTS	1,377,382

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TY 2012 Other Expenses Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	31,461			31,461
POSTAGE & DELIVERY	3,442			3,442
BANK FEES	46			46
SUPPLIES	3,019			3,019
TELEPHONE	3,374			3,374
MEMBERSHIP & DUES	309			309
AWARDS/INCENTIVES	432			432
TRANSFER TO 501(C)(3)	195,046			195,046
LICENSE FEES	135			135
INTERNET	925			925
MISCELLANEOUS	108			108

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TY 2012 Other Income Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME - LAND RENT	180	180	
OTHER INCOME - MISCELLANEOUS	91,675		
OTHER INCOME - INVESTMENTS	1,720,123	1,720,123	

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TY 2012 Other Professional Fees Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL CONSULTING FEES	131,313			131,313
INVESTMENT MANAGEMENT FEES	474,750	474,750		
LAND MAINTENANCE FEES	3,267	3,267		
CORPORATE FILING FEES	173			173
OTHER PROFESSIONAL FEES	621			621
RECRUITING FEES	19,191			19,191
ON-LINE SERVICE FEES	4,399			4,399
EQUIPMENT/MAINTENANCE	2,767			2,767

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TY 2012 Taxes Schedule

Name: CHARLES KOCH FOUNDATION

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	211,444			
REAL ESTATE TAXES	20,468			20,468
VA BUSINESS PROPERTY TAX	70			